

## IN THE **UNITED STATES** PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

**MAXWELL** 

CASE:

57640.010274

INFORMATION DISCLOSURE

STATEMENT

**SERIAL NO.:** 10/709,377

FILED ON:

30 April 2004

TITLE:

RACK AND PINION WHEEL DRIVE FOR AN INDUSTRIAL SLIDING BLADE DAMPER

ATTENTION OF: Art Unit 3376

EXAMINER:

Rose, Andrew J.

COMMISSIONER OF PATENTS P O Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 04-1030.

In accordance with 37 C.F.R. §1.56 and §1.98, Applicant respectfully submits herewith an Information Disclosure Statement under 37 C.F.R. §1.97(c) and 1.97(e), and informs the United States Patent and Trademark Office of information which may be material to the examination of the above-identified patent application.

The following U.S. Patent, which comprise the aforementioned information, is as follows:

01/03/2006 SSITHIB1 00000084 10709377

02 FC:1806

180.00 OP

| GREENBERG TRAURIG, LLP               | Richard Eugene Dick | 27778 | Mark R. Galis      | 36805 |
|--------------------------------------|---------------------|-------|--------------------|-------|
| •                                    | Richard D. Harris   | 27898 | Brad R. Bertoglio  | 47422 |
| 77 WEST WACKER DRIVE<br>SUITE 2500   | Howard E. Silverman | 32492 | Jeffrey P. Dunning | 50686 |
| CHICAGO, ILLINOIS 60601              | Douglas B. Teaney   | 33459 | Jeffrey G. Mote    | 48791 |
| (312) 456-8400<br>CUSTOMER NO. 34018 | Herbert H. Finn     | 38139 | Cameron M. Nelson  | 55486 |

PATENT/PUB. NO.

ISSUE/PUB. DATE

**INVENTOR** 

5,330,720

19 Jul. 1994

Sorbo et al.

The information presented herein further comprises the following foreign references:

| Country/Doc. No. | Pub. Date    | Basis for relevance   |
|------------------|--------------|---|
| DE 32 46 227 A1  | 14 Dec. 1982 | Pipe leakage detection<br>apparatus, especially for<br>nuclear plants, comprising<br>local monitoring chambers<br>within insulation cladding<br>containing detectors for<br>radioactivity, pressure,<br>temperature or humidity |
| EP 0 240 620 A1  | 14 Oct. 1987 | English document  |
| CH 682416 A5     | 25 May 1990  | Sealing connector for leaking ducts; as a split collar clamps a sealing joint around a leak, a tap allows water to escape while the collar is being mounted   |
| GB 2 226 417 A   | 27 Jun. 1990 | English document  |
| WO 01/44773 A2   | 21 Jun. 2001 | English abstract  |
| WO 02/088656 A1  | 7 Nov. 2002  | English document  |

Copies of the foregoing non-US references are attached for consideration by the Examiner.

Applicant submits herewith the requisite fee of \$180.00 for consideration of the information contained in this information disclosure statement.

Consideration of the foregoing information, and return of an initialed copy of the PTO-1449 attached hereto, are respectfully solicited.

Should anything further be required, a telephone call to the undersigned at (312) 456-8400 is respectfully requested.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: / 7 · 23 , 2005

Richard D. Harris

One of Attorneys for Applicant

Douglas Bleanan

#### **CERTIFICATE OF MAILING**

I hereby certify that this INFORMATION DISCLOSURE STATEMENT is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 12-23, 2005.

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Should anything further be required, a telephone call to the undersigned, at (312) 456-8400, is respectfully invited.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: December \_\_\_\_\_\_\_\_\_, 2005

Richard D. Harris

One of Attorneys for Applicant

### **CERTIFICATE OF MAILING**

I hereby certify that this AMENDMENT AND COMMUNICATION is being deposited with the United States Postal Service as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December **23**, 2005.

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known      |                 |  |
|------------------------|-----------------|--|
| Application Number     | 10/709,377      |  |
| Filing Date            | 30 April 2004   |  |
| First Named Inventor   | Maxwell         |  |
| Art Unit               | 3376            |  |
| Examiner Name          | Rose, Andrew J. |  |
| Attorney Docket Number | 040168          |  |

| U. S. PATENT DOCUMENTS       |  |   |            |  |   |  |
|------------------------------|--|---|------------|--|---|--|
| Examiner Cite Initials* No.1 |  | Document Number Publication Da<br>MM-DD-YYY |            | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |  |
|                              |  | Number-Kind Code <sup>2 (if known)</sup>    |            |  | Figures Appear  |  |
|                              |  | <sup>US-</sup> 5,330,720                    | 07-19-1994 | Sorbo et al.                                       |   |  |
|                              |  | US-   |            |  |   |  |
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|                       | FOREIGN PATENT DOCUMENTS |   |                  |  |   |    |
|-----------------------|--------------------------|---|------------------|--|---|----|
| Examiner<br>Initials* | Cite<br>No.1             | Foreign Patent Document   | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |    |
|                       |                          | Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |  | Or Relevant Figures Appear                        | T° |
| <u> </u>              |                          | DE 32 46 227 A1   | 12-12-1982       |  |   |    |
|                       |                          | EP 0 240 620 A1   | 10-14-1987       |  |   |    |
|                       |                          | CH 682416 A5  | 05-25-1990       |  |   |    |
|                       |                          | GB 2 226 417 A  | 06-27-1990       |  |   |    |
|                       |                          | WO 01/44773 A2  | 06-21-2001       |  |   |    |
|                       |                          | WO 02/088656 A1   | 11-07-2002       |  |   |    |

|                       | <br>               |  |
|-----------------------|--------------------|--|
| Examiner<br>Signature | Date<br>Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ATTACHMENT TO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Art Unit               | 3376            |  |  |
| Examiner Name          | Rose, Andrew J. |  |  |
| Attorney Docket Number | 040168          |  |  |

|                      | FOREIGN PATENT DOCUMENTS |                            |                     |  |  |
|----------------------|--------------------------|----------------------------|---------------------|--|--|
| Examiner<br>Initials | Cite<br>No.              | Foreign Patent<br>Document | Publication<br>Date | Bases for Relevance  |  |
| `                    |                          | DE 32 46 227 A1            | 14 Dec. 1982        | Pipe leakage detection apparatus, especially for nuclear plants, comprising local monitoring chambers within insulation cladding containing detectors for radioactivity, pressure, temperature or humidity |  |
|                      |                          | CH 682416 A5               | 25 May 1990         | Sealing connector for leaking ducts; as a split collar clamps a sealing joint around a leak, a tap allows water to escape while the collar is being mounted  |  |

|           | <br>       |  |
|-----------|------------|--|
| Examiner  | Date       |  |
| Signature | Considered |  |